



Docket No. 58614 (71432)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: B. Hansen et al.

Serial No.: 10/776,933

GROUP: Unknown

Filed: February 10, 2004

For: OLIGOMERIC COMPOUNDS FOR THE MODULATION OF THIOREDOXIN  
EXPRESSION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this Completion of Filing Requirements and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date **July 12, 2004**, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV438993372US**, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop PCT.

Lee Dunkle

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Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

  X   (1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or

- \_\_\_\_\_ (2) within three months of the mailing date of the Search Report issued by the  
**European** Patent Office (dated \_\_\_\_\_) (**COPY ENCLOSED**); or
- \_\_\_\_\_ (3) after the period defined in (1) but before the mailing date of a **Final**  
**Rejection** or **Notice of Allowance**, and the requisite Certification or fee  
under Rule 1.17(p), namely **\$180.00**, is included herein; or
- \_\_\_\_\_ (4) after the mailing date of a **Final Rejection** or **Notice of Allowance** but  
before the payment of the **Issue Fee**, and the requisite Certification,  
petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s)  
PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

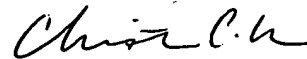
- X   are enclosed herewith; or
- \_\_\_\_\_ have been cited in the parent application, and are thus not being resubmitted  
herein.

Early examination and allowance of the present application are respectfully solicited.

#### **FEE AUTHORIZATION**

Should any fees associated with the submission be required, the Commissioner is  
authorized to charge the missing fee to our Deposit Account, No. 04-1105. Any overpayments  
should be credited to said Deposit Account.

Respectfully submitted,



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Date: July 12, 2004



FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT			DOCKET NO.: 58614 (71432)		SERIAL NO.: 10/776,933		
			APPLICANT(S): B. Hansen et al.				
			FILING DATE: February 10, 2004		GROUP NO.: Unknown		
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION YES/NO</b>
	AA	WO 03/006475 A3	01/23/03	WIPO			
	AB	WO 02/28875 A2	04/11/02	WIPO			
	AC	WO 02/094250 A2	11/28/02	WIPO			
	AD	WO 00/66604	11/09/00	WIPO			
	AE	WO 00/56748	09/28/00	WIPO			
	AF	WO 00/56746	09/28/00	WIPO			
	AG	WO 00/12524	03/09/00	WIPO			
	AH	WO 99/38963	08/05/99	WIPO			
	AI	WO 99/14226	03/25/99	WIPO			
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
	AJ	Yokomizo et al., <i>Cancer Res.</i> 55:4293-4296 (1995).					
	AK	Kahlos et al., <i>Int. J. Cancer</i> 20:95:198-204 (2001).					
	AL	Holmgren., <i>J. Biol. Chem.</i> 264(24):13963-13966 (1989).					
	AM	Holmgren, <i>Ann. Rev. Biochem.</i> 54:237-271 (1985).					
	AN	Powis, et al., <i>Annu. Rev. Biophys. Biomol. Struct.</i> 30:421-455 (2001).					
	AO	Gasdaska et al., <i>Biochim. Biophys. Acta</i> 1218:292-296 (1994).					
	AP	Matthews, et al., <i>Nucleic Acids Res.</i> 20(15):3821-3830 (1992).					
	AQ	Cromlish et al., <i>J. Biol. Chem.</i> 264(30):18100-18109 (1989).					
	AR	Bannister et al., <i>Oncogene</i> 6:1243-1250 (1991).					
	AS	Ueno, et al., <i>J. Biol. Chem.</i> 274(50):35809-35815 (1999).					
	AT	Grippo, et al., <i>J. Biol. Chem.</i> 258(22):13658-13664 (1983).					
	AU	Abate et al., <i>Science</i> 249:1157-1161 (1990).					
	AV	Welsh et al., <i>Cancer Res.</i> 62:5089-5095 (2002).					
	AW	Gasdaska et al., <i>Cell Growth Differ.</i> 6:1643-1650 (1995).					
	AX	Schenk et al., <i>J. Immunol.</i> 156:765-771 (1996).					
	AY	Taniguchi et al., <i>Nucleic Acids Res.</i> 24(14):2746-2752 (1996).					
	AZ	Rubartelli et al., <i>J. Biol. Chem.</i> 267(34):24161-24164 (1992).					
	BA	Nakamura et al., <i>Cancer</i> 69(8):2091-2097 (1992).					
	BB	Baker et al., <i>Cancer Res.</i> 57:5162-5167 (1997).					
	BC	Oblong et al., <i>J. Biol. Chem.</i> 269(16):11714-11720 (1994).					
	BD	Grogan et al., <i>Hum. Pathol.</i> 31(4):475-481 (2000).					
	BE	Soini, et al. <i>Clin. Cancer Res.</i> 7:1750-1757 (2001).					
	BF	Fujii et al., <i>Cancer</i> 68:1583-1591 (1991).					
	BG	Nakamura et al., <i>Cancer Detect. Prev.</i> 24(1):53-60 (2000).					
	BH	Hariharan et al., <i>Elsevier Science B.V.</i> 173: 265-270 (1996).					
Examiner:					Date:		